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PTO/SB/05 (03-01)

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|--|--------------------------|--|--------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b))   |                          | Attorney Docket No.<br><b>500.41295X00</b>   |                          |
|  |                          | First Inventor<br><b>KANEKIYO, TADAMITSU</b>   |                          |
|  |                          | Title<br><b>PLASMA PROCESSING METHOD AND APPARATUS</b>   |                          |
|  |                          | Express Mail Label No.   |                          |
| <b>APPLICATION ELEMENTS</b><br><br><i>SEE MPEP chapter 600 concerning utility patent application contents.</i>   |                          | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |                          |
| <div><div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><small>(Submit an original and a duplicate for fee processing)</small></div><div>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</div><div>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: <b>23</b>]</span><br/><small>(preferred arrangement set forth below)</small><ul style="list-style-type: none"><li>-Descriptive title of the invention</li><li>-Cross Reference to Related Applications</li><li>-Statement Regarding Fed sponsored R &amp; D</li><li>-Reference to sequence listing, a table, or a computer program listing appendix</li><li>-Background of the Invention</li><li>-Brief Summary of the Invention</li><li>-Brief Description of the Drawings (if filed)</li><li>-Detailed Description</li><li>-Claim(s)</li><li>-Abstract of the Disclosure</li></ul></div><div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Pages: <b>8</b>]</span></div><div>5. Oath or Declaration <span style="float: right;">[Total Pages: <b>      </b>]</span><div>a. <input type="checkbox"/> Newly executed (original or copy)</div><div>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/><small>(for continuation/divisional with Box 18 completed)</small></div><div>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s)<br/>Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</div></div><div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div><div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div><div>8. Nucleotide and/or Amino Acid Sequence Submission <i>if applicable, all necessary</i><div>a. <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b. Specification Sequence Listing on:<div>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</div><div>ii. <input type="checkbox"/> paper</div></div><div>c. <input type="checkbox"/> Statements verifying identity of above copies</div></div></div> |                          |  |                          |
| <b>ACCOMPANYING APPLICATION PARTS</b>  |                          |  |                          |
| <div>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))</div> <div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/><small>(when there is an assignee)</small></div> <div>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></div> <div>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations<br/>Statement (IDS)/PTO-1449</div> <div>13. <input type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><small>(Should be specifically itemized)</small></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/><small>(if foreign priority is claimed)</small></div> <div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br/>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div> <div>17. <input checked="" type="checkbox"/> Other: <b>Figs. 1-4, 5A-5D, 6-11, 12a-d, 13a-c; Credit Card Payment Form, Information Disclosure Sheet Under 37 CFR 1.56 w/refs.</b></div>   |                          |  |                          |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<br><div><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:</div> <div>Prior application information: Examiner: <b>      </b> Group Art Unit: <b>      </b></div> <div>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div>  |                          |  |                          |
| <b>19. CORRESPONDENCE ADDRESS</b>  |                          |  |                          |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label  |                          | 020457<br><small>(Insert Customer No. or Attach bar code label here)</small>                               |                          |
| Name<br><b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>  |                          | or <input type="checkbox"/> Correspondence address below   |                          |
| Address  |                          |  |                          |
| City   |                          | State  |                          |
| Country  |                          | Telephone  | <b>(703) 312-6600</b>    |
|  |                          | Fax  | <b>(703) 312-6666</b>    |
| Name   | <b>Carl H. Brundidge</b> | Registration No. (Attorney/Agent)  | <b>29,621</b>            |
| Signature  |                          | Date   | <b>February 27, 2002</b> |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                                |  |                    |  |                             |                            |
|--------------------------------|--|--------------------|--|-----------------------------|----------------------------|
| <b>TOTAL AMOUNT OF PAYMENT</b> |  | (\$) <b>992.00</b> |  | <b>Application Number</b>   |                            |
|                                |  |                    |  | <b>Filing Date</b>          | <b>February 27, 2002</b>   |
|                                |  |                    |  | <b>First Named Inventor</b> | <b>KANEKIYO, TADAMITSU</b> |
|                                |  |                    |  | <b>Examiner Name</b>        |                            |
|                                |  |                    |  | <b>Group Art Unit</b>       |                            |
|                                |  |                    |  | <b>Attorney Docket No.</b>  | <b>500.41295X00</b>        |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
 Deposit Account Number **01-2135**  
 Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP**  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☐ Check ☒ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 2. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     | 740.00   |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |

SUBTOTAL (1) 740.00

## 1. EXTRA CLAIM FEES

| Total Claims        | Extra Claims | Fee from below | Fee Paid |
|---------------------|--------------|----------------|----------|
| 9-20**              | = 0          | x 18           | = 0      |
| Indep. Claims 6-3** | = 3          | x 84           | = 252.00 |
| Multiple Dependent  |              | 280            | = 0.00   |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                      |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 252.00

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

| Fee Code | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|----------|-----------------------|----------|-----------------------|--|----------|
| 105      | 130                   | 205      | 65                    | Surcharge - late filing fee or oath  |          |
| 127      | 50                    | 227      | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139      | 130                   | 139      | 130                   | Non-English specification  |          |
| 147      | 2,520                 | 147      | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112      | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examination action                  |          |
| 113      | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110                   | 215      | 55                    | Extension for reply within first month                                     |          |
| 116      | 400                   | 216      | 200                   | Extension for reply within second month                                    |          |
| 117      | 920                   | 217      | 460                   | Extension for reply within third month                                     |          |
| 118      | 1,440                 | 218      | 720                   | Extension for reply within fourth month                                    |          |
| 128      | 1,960                 | 228      | 980                   | Extension for reply within fifth month                                     |          |
| 119      | 320                   | 219      | 160                   | Notice of Appeal   |          |
| 120      | 320                   | 220      | 160                   | Filing a brief in support of an appeal                                     |          |
| 121      | 280                   | 221      | 140                   | Request for oral hearing   |          |
| 138      | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140      | 110                   | 240      | 55                    | Petition to revive - unavoidable   |          |
| 141      | 1,280                 | 241      | 640                   | Petition to revive - unintentional   |          |
| 142      | 1,280                 | 242      | 640                   | Utility issue fee (or reissue)   |          |
| 143      | 460                   | 243      | 230                   | Design issue fee   |          |
| 144      | 620                   | 244      | 310                   | Plant issue fee  |          |
| 122      | 130                   | 122      | 130                   | Petitions to the Commissioner  |          |
| 123      | 50                    | 123      | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 126      | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146      | 740                   | 246      | 370                   | For each additional invention to be examined (37 CFR § 1.129(a))           |          |
| 149      | 740                   | 249      | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179      | 740                   | 279      | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169      | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |          |


Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

## SUBMITTED BY

## Complete (if applicable)

|                          |   |  |               |                  |                          |
|--------------------------|---|--|---------------|------------------|--------------------------|
| <b>Name (Print/Type)</b> | <b>Carl J. Brundidg</b>   | <b>Registration No. (Attorney/Agent)</b> | <b>29,621</b> | <b>Telephone</b> | <b>703-312-6600</b>      |
| <b>Signature</b>         |  |  |               | <b>Date</b>      | <b>February 27, 2002</b> |

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